



TRINTOC (PENAL) CREDIT UNION CO-OPERATIVE SOCIETY LIMITED

Established 1954

90A Clarke Road, Penal 710424, Trinidad, West Indies

Tel: 270-7964/7966; 333-0605; 348-5584; 396-1122; email: info@trintocpenalcu.com; website: www.trintocpenalcu.com

COMPLIANCE FORM

DOMESTIC AND FOREIGN POLITICALLY EXPOSED PERSONS (PEPS)

Individuals who are or have been appointed to a prominent office/function in Trinidad and Tobago or by a foreign country

Please tick one box	YES	NO
Head of State	☐	☐
Senior Politician e.g. Member of Parliament, Senator or Alderman	☐	☐
Senior Military Official e.g. Coast Guard, Defense Force	☐	☐
Senior Judicial Officials e.g. Chief Justice, Judge, Magistrate, The Registrar, Deputy Registrar	☐	☐
Senior Government Official e.g. Permanent Secretary, Accounting Officer under the Exchequer & Audit Act or holding similar positions	☐	☐
Senior Executive of State Owned Corporations e.g. Chairman, Deputy Chairman, President /Vice President Secretary, Treasurer, Director, General Manager, Comptroller	☐	☐
Immediate Family member e.g. Parent, Spouse, Children and their Spouse	☐	☐
Close personal / professional associate of the PEP	☐	☐
Persons who are or have been entrusted with prominent functions by an international organization which refers to members of Senior Management e.g. Members of the Board	☐	☐

I hereby certify that the above information is true and correct as at the date of completion

Print Name: _____ Signature: _____ Date: ____/____/____
YY / MM / DD

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

	YES	NO	Document Required
Are you a US citizen, resident or green card holder? If YES, please Provide details?	☐	☐	- W-9 or W-8BEN - Document supporting US citizenship
Are you a citizen of any county other than Trinidad and Tobago?	☐	☐	Non-US passport holder, similar documents to be provided supporting foreign citizenship
Do you have a standing instruction to transfer dividend or regular income to US account?	☐	☐	If yes, copies of relevant passport(s) to be provided and give details
Are you a person who must comply with disclosure requirement of Tax Residency?	☐	☐	W-9 or W-8BEN
If yes, please list the Country(ies) or Residency for Tax Purposes and Corresponding Social Security Number (SSN) or Individual Tax Identification Number (ITIN) details.			

Country _____ SSN/ITIN _____

Country _____ SSN/ITIN _____

If you have answered YES to any of the above questions, please complete the following declaration: -

Under Penalty of Perjury, I certify that: -

- The information herein is to the best of my knowledge and belief to be true and correct.
- I am not a citizen or resident for tax purposes of any country other than those listed in this section.
- I will notify Trintoc (Penal) Credit Union. immediately in the event of any changes to the information stated in this section.
- I agree that Trintoc (Penal) Credit Union. can provide the United States Internal Revenue Service (US IRS) and to any relevant tax authority (or any party authorized to act on behalf of such authority) any of the information provided in this section or any information that may be required to be provided by law to the US IRS or other relevant tax authority relating to my account(a) with Trintoc (Penal) Credit Union.

Print Name: _____ Signature: _____ Date: ____/____/____
YY / MM / DD

For Official Use

FINANCIAL OBLIGATION REGULATION

Referenced against UN 2253 List	Yes ☐ No ☐	Match found	Yes ☐ No ☐
Referenced against the T&T List of Consolidated Court Orders	Yes ☐ No ☐	Match found	Yes ☐ No ☐
Referenced against other list CFATF/FATF	Yes ☐ No ☐	Match found	Yes ☐ No ☐

Date: ____/____/____
YY / MM / DD Compliance Officer- Signature: _____